

Esophageal perforation due to acute esophageal necrosis: A case report and a comprehensive literature review

Carlos Petrola Chacón¹, Sandra Castro Boix^{2*}, Nivardo Rodríguez Conde², Amaia Gantxegi Madina², Mariona Jofra², Daniel Gil Sala³, Manel Armengol Carrasco¹

¹GENERAL AND DIGESTIVE SURGERY DEPARTMENT. VALL D'HEBRON HOSPITAL UNIVERSITARI. UNIVERSITAT AUTÒNOMA DE BARCELONA. BARCELONA, SPAIN

²UPPER GI SURGERY DIVISION. GENERAL AND DIGESTIVE SURGERY DEPARTMENT. VALL D'HEBRON HOSPITAL UNIVERSITARI. UNIVERSITAT AUTÒNOMA DE BARCELONA. BARCELONA, SPAIN

³ANGIOLOGY AND VASCULAR SURGERY DEPARTMENT. VALL D'HEBRON HOSPITAL UNIVERSITARI. UNIVERSITAT AUTÒNOMA DE BARCELONA. BARCELONA, SPAIN

ABSTRACT



Background. Acute esophageal necrosis is a rare and potentially lethal entity. The pathogenesis is multifactorial, generally presenting with symptoms of upper gastrointestinal bleeding. We present a case that presents atypically with initial esophageal perforation. **Case presentation.** A 46-year-old man with a history of alcoholism and cocaine use, an active smoker, and a ruptured celiac trunk aneurysm treated by embolization, who, after acute chest and epigastric pain, is diagnosed with a Stanford B thoracoabdominal aortic dissection, being repaired endovascularly by placing an aortic endoprosthesis. Due to clinical suspicion of mesenteric ischemia complicated with esophageal/gastric perforation, a postoperative tomography was performed, revealing perforation of the esophagus distal to the left pleura and ischemic cholecystitis. Transhiatal esophagectomy, cervical esophagostomy, Witzel-type decompressive gastrostomy, Witzel-type feeding jejunostomy, classic cholecystectomy, and mediastinum drainage were performed. During the postoperative period, the patient remained in critical condition, dying as a result of hypoxic encephalopathy. The histopathological study reported acute transmural esophageal ischemia. **Discussion.** Tissue hypoperfusion plays a dominant role in the pathogenesis of acute esophageal necrosis. Esophageal perforation is a serious complication and can occur in the early stages, with esophagectomy and deferred digestive reconstruction being the appropriate treatment. **Conclusion.** Ischemia is a fundamental mechanism of acute esophageal necrosis; its diagnosis must always be established in the various complications that may occur in patients with hemodynamic compromise, in order to obtain a timely treatment.

Category: Case Presentation

Received: April 01, 2022

Accepted: April 26, 2022

Published: May 15, 2022

Keywords:

acute esophageal necrosis, esophageal perforation, esophagectomy

***Corresponding author:**

Sandra Castro Boix,

Esophageal and Gastric Surgery Unit. Vall d'Hebron University Hospital. Universitat Autònoma de Barcelona. Passeig de la Vall d'Hebron, 119, 08035. Barcelona, Spain

E-mail: scastro@vhebron.net

Introduction

Acute esophageal necrosis (AEN) is a rare and potentially lethal entity, characterized by superficial circumferential necrosis of variable length, and predominantly affecting the distal esophagus, sparing the gastroesophageal junction [1-6]. Since its first description by Goldberg in 1990, less than 200 cases have been reported in the literature [7,8], with a prevalence that ranges from 0.2% to 10.3% in autopsy series reports [9-11]. It occurs in the absence of caustics or other injurious agents and its pathogenesis is multifactorial, but tissue hypoperfusion secondary to hemodynamic instability or large vessel arterial occlusion play a dominant role [7,8,12-14].

Typically, patients present at the emergency room with signs of upper gastrointestinal hemorrhage such as coffee-ground emesis, melena, or hematemesis, and conservative treatment is usually an acceptable modality [4,12,15]. Among reported complications, esophageal perforation is an acute and severe complication that occurs in less than 7% of patients and can lead to mediastinitis, abscess formation, or pneumomediastinum and requires emergent surgical repair or endoscopic placement of an esophageal stent [1,16,17].

We present a case of esophageal perforation as the first clinical manifestation of acute esophageal necrosis, which occurred after an acute thoracic aortic dissection. This case report follows the SCARE guide related to surgical case reports [18].

Case presentation

We present the case of a 46-year-old man with medical history of heavy alcohol and cocaine consumption, active smoker, pharmacologically treated hypertension, and a ruptured celiac trunk aneurysm treated with coil embolization on 2015. The patient presented in the emergency room of a regional hospital with sudden interscapular pain radiating to the central thoracic and epigastric region, being diagnosed of thoracoabdominal aortic dissection (Stanford Type B). He was transferred to our center to start with medical management of the Aortic syndrome in the intensive care unit. 24 hours after admission, the patient presented a clinical worsening with non-controlled thoracic and abdominal pain, dyspnea, and hemodynamic instability. An emergent computed tomography angiography (CTA) oriented to mesenteric malperfusion (congestion of proximal jejunum) due to compression of the superior mesenteric artery ostium because of the aortic dissection. An emergent thoracic endovascular aortic repair (TEVAR) was performed by the vascular surgery team, deploying a thoracic endograft RelayPro® in the proximal descending thoracic aorta covering the entry tear of the aortic dissection (Figure 1). The patient was transferred from the operating room to the ICU hemodynamically unstable, with high requirements of noradrenaline and dependent on invasive mechanical ventilation.



Figure 1. Thoracoabdominal multiphase tomography showing Relay® thoracic stent in situ and celiac trunk embolization coil occlusion.

On the first postoperative day, a CTA was performed, showing an improvement of the true aortic lumen compression and exclusion of the aortic dissection entry tear and pleural bilateral effusion, larger on the left pleural

cavity. Due to suspected diagnosis of low-flow mesenteric ischemia, broad-spectrum antibiotic therapy was started and a nasogastric tube for gastrointestinal decompression was placed. Left pleural drainage was inserted to improve the patient's ventilatory mechanics. A biochemical test of the pleural fluid reported high levels of bilirubin. Due to the characteristics of the pleural fluid, methylene blue was administered through the nasogastric tube, objectifying it in pleural drainage. A second CTA was performed to rule out esophageal/gastric perforation, reporting disruption of the left posterolateral wall of the distal esophagus that directly communicated the esophageal lumen with the left pleural cavity, visualizing contrast output through it (Figure 2).



Figure 2. Abdominal multiphase tomography showing esophageal perforation (yellow arrow).

An emergent exploratory laparotomy was carried out by the members of the Esophagogastric Surgery Unit of our center with findings of distal esophageal ischemia (Figure 3) with esophageal perforation to the left pleura and ischemic cholecystitis. A transhiatal esophagectomy (incidental rupture of the gastroesophageal junction occurred), cervical esophagostomy, Witzel-type decompressive gastrotomy, Witzel-type feeding jejunostomy, classical cholecystectomy and drainage of the mediastinum were performed.



Figure 3. Esophagectomy specimen showing distal esophageal necrosis changes that spare gastroesophageal junction (yellow arrow)

During the postoperative period in the ICU, the patient remained in critical condition, with respiratory failure refractory to treatment and clinical signs of hypoxic encephalopathy. A brain computed tomography was

performed, reporting tonsillar and transtentorial herniation with radiological signs of generalized ischemia of the brain, resulting in the patient's death.

The histopathological study reported acute transmural esophageal ischemia with wall perforation and acute cholecystitis with extensive necrosis of the gallbladder wall.

Discussion

We report the case of an esophageal perforation as an unusual presentation form of AEN in a patient with history of alcohol and cocaine consumption, hypertension, and previous endovascular embolization of the celiac trunk due to a ruptured aneurysm with recent diagnosis of thoracoabdominal type B aortic dissection treated with a thoracic aortic endograft.

AEN is a condition in which the esophagus, usually the distal portion, develops necrosis of the mucosa [1]. It is a life-threatening condition with a high mortality rate and it is considered to be underreported, in part due to low awareness of this condition as a differential diagnosis of upper gastrointestinal bleeding and in part due to it often being self-resolving [19].

The pathogenesis and etiology of AEN are multifactorial, such as backflow of gastric contents causing esophageal injury, disruption of the vascular supply leading to hypoperfusion and ischemia, and impaired protective barrier systems due to a weakened immune system and/or a hemodynamic instability [20-22]. Another possible hypothesized mechanism of hypotension-induced distal esophageal necrosis is the concept of the 'two-hit' hypothesis; that is, the initial low vascular state event, predisposing the lower esophageal mucosa to severe injury of the esophageal linings by reflux of pepsin and acid, which can then lead to a rapid onset of necrosis unless the circulation is restored timely [23]. To date, ischemia due to low-flow rates or shock is the most widely accepted pathophysiological mechanism, being a fact that argues in favor of an ischemic etiology, the predominance of necrosis in the distal third of the esophagus which is more prone to ischemic injury since this part is less vascularized in anatomical studies and angiographic examinations compared with other parts of the esophagus [4,12,22,24-26]. Minatoya et al. published a case of transmural necrosis of the esophagus secondary to total aortic arch replacement with a Dacron graft due to acute aortic dissection, showing in a postoperative CTA, a complete thrombosis of the false lumen in the descending aorta, and concluded that the feeding artery of the esophagus originated from the false lumen [27].

A wide variety of conditions and risk factors have been associated with AEN. In a systematic review of the literature performed by Abdullah et al. [19] that included 130 cases, the most common associated comorbidities were

diabetes in 38%, hypertension in 37%, and alcohol abuse in 25%. Despite the high prevalence of alcohol abuse in these patients, AEN associated with active alcohol drinking is a rare entity, and only ten cases have been reported in the current literature [21]. Alcohol ingestion has been reported to reduce lower esophageal sphincter pressure and esophageal peristalsis and can cause irritation of the gastric mucosa and the accumulation of a large volume of gastric secretions; thus, increased acid reflux, gastric stasis, and decreased mucosal protection are likely to be responsible for the development of AEN associated with alcohol abuse [28-30]. Cocaine use has previously been associated with AEN. Five cases have previously been described in association with cocaine use [31-35]. Cocaine is known to cause serious vasoconstriction, and it is thought that can be a precipitating factor in the development of AEN by compromising the blood supply to the esophagus, especially in predisposed patients [33].

In 70-90% of AEN cases patients present with signs of upper gastrointestinal bleeding associated with symptomatology related to their underlying disorder and signs of sepsis [4,12,15,36,37]. Generally, uncomplicated AEN follows an indolent clinical course with spontaneous resolution and the treatment is mainly supportive and consists of maintaining hemodynamic stability through adequate volemic resuscitation and minimizing acid exposure with intravenous proton pump inhibitors [15,35,38]. Early and late complications can reach up to 11% of the cases and surgical intervention is required in cases where there is massive necrosis with esophageal perforation, and herniated gastric volvulus [15,35].

Perforation of the esophagus, with a reported rate of 7% is one of the most feared complications of AEN [19]. Perforation can be seen in the initial stages of the disease, and it may lead to rapid clinical deterioration, mediastinitis, mediastinal abscess formation, empyema, and generalized sepsis; prompt recognition, intravenous antibiotics, and surgical intervention are life-saving [12,15]. Esophagectomy, decortication, lavage, and delayed reconstruction may be performed; primary closure of the perforated esophageal tissue should not be attempted [12,15].

Overall AEN mortality rates range from 13% to 36% and are largely due to the older age and underlying disease [12,15,24,37]. However, mortality specific to the AEN is 6%, and known risk factors include esophageal perforation, diabetic ketoacidosis, and compromised immune system [1,12,15].

The strength of our work lies in the fact that we present an unusual form of presentation of AEN, such as esophageal perforation (7% of cases), providing clinical evidence that allows this complication to be considered in the future as a form of presentation in patients with risk factors and diagnostic suspicion of AEN.

Conclusions

Acute esophageal necrosis is a serious clinical entity that can initially present complicated with esophageal perforation, which is a life-threatening condition. With multifactorial pathogenesis and ischemia as the fundamental mechanism, its diagnosis must always be present in the variety of complications that can occur in patients with hemodynamic compromise to achieve timely treatment. Being an infrequent entity, there is still no scientific evidence necessary to generate guidelines for its diagnostic algorithm and therapeutic protocol and most recommendations are based on single-center experiences.

Conflict of interest disclosure

There are no known conflicts of interest in the publication of this article. The manuscript was read and approved by all authors.

Compliance with ethical standards

Any aspect of the work covered in this manuscript has been conducted with the ethical approval of all relevant bodies and that such approvals are acknowledged within the manuscript.

References

- Gurvits GE, Cherian K, Shami MN, Korabathina R, El-Nader EM, Rayapudi K, Gandolfo FJ, Alshumrany M, Patel H, Chowdhury DN, Tsiakos A. Black esophagus: new insights and multicenter international experience in 2014. *Dig Dis Sci*. 2015 Feb;60(2):444-53. doi: 10.1007/s10620-014-3382-1
- Obermeyer R, Kasirajan K, Erzurum V, Chung D. Necrotizing esophagitis presenting as a black esophagus. *Surg Endosc*. 1998 Dec;12(12):1430-3. doi: 10.1007/s004649900875
- Geller A, Aguilar H, Burgart L, Gostout CJ. The black esophagus. *Am J Gastroenterol*. 1995 Dec;90(12):2210-2.
- Day A, Sayegh M. Acute oesophageal necrosis: a case report and review of the literature. *Int J Surg*. 2010; 8(1):6-14. doi: 10.1016/j.ijssu.2009.09.014
- Augusto F, Fernandes V, Cremers MI, Oliveira AP, Lobato C, Alves AL, Pinho C, de Freitas J. Acute necrotizing esophagitis: a large retrospective case series. *Endoscopy*. 2004 May;36(5):411-5. doi: 10.1055/s-2004-814318
- Moretó M, Ojembarrena E, Zaballa M, Tánago JG, Ibáñez S. Idiopathic acute esophageal necrosis: not necessarily a terminal event. *Endoscopy*. 1993 Oct; 25(8):534-8. doi: 10.1055/s-2007-1009121
- Goldenberg SP, Wain SL, Marignani P. Acute necrotizing esophagitis. *Gastroenterology*. 1990 Feb; 98(2):493-6. doi: 10.1016/0016-5085(90)90844-q
- Kim SM, Song KH, Kang SH, Moon HS, Sung JK, Kim SH, Kim KB, Lee SW, Cho YS, Bang KB. Evaluation of prognostic factor and nature of acute esophageal necrosis: Restropective multicenter study. *Medicine (Baltimore)*. 2019;98(41):e17511. doi: 10.1097/MD.00000000000017511
- Etienne JP, Roge J, Delavierre P, Veyssier P. Nécroses de l'oesophage d'origine vasculaire [Esophageal necrosis of vascular origin]. *Sem Hop*. 1969 May 14;45(23):1599-606.
- Postlethwait RW, Musser AW. Changes in the esophagus in 1,000 autopsy specimens. *J Thorac Cardiovasc Surg*. 1974 Dec;68(6):953-6.
- Jacobsen NO, Christiansen J, Kruse A. Incidence of oesophageal necrosis in an autopsy material. *APMIS*. 2003 May;111(5):591-4. doi: 10.1034/j.1600-0463.2003.1110509.x
- Gurvits GE. Black esophagus: acute esophageal necrosis syndrome. *World J Gastroenterol*. 2010 Jul 14;16(26):3219-25. doi: 10.3748/wjg.v16.i26.3219
- Pelletier C, Rouquette I, Chazalon P, Rousseau JM, Brinquin L. L'oesophage noir, une complication exceptionnelle du patient de réanimation [Black oesophagus, a rare event in intensive care unit]. *Ann Fr Anesth Reanim*. 2004 Jun;23(6):601-3. French. doi: 10.1016/j.annfar.2004.01.019
- Hwang J, Weigel TL. Acute esophageal necrosis: "black esophagus". *JSLs*. 2007 Jan-Mar;11(1):165-7.
- Gurvits GE, Shapsis A, Lau N, Gualtieri N, Robilotti JG. Acute esophageal necrosis: a rare syndrome. *J Gastroenterol*. 2007 Jan;42(1):29-38. doi: 10.1007/s00535-006-1974-z
- Lamers CR, Mares WGN, Bac DJ. Black esophagus: a case series and literature review of acute esophageal necrosis. *Scand J Gastroenterol*. 2018 Oct-Nov;53(10-11):1421-1424. doi: 10.1080/00365521.2018.1513064
- Dasari BV, Neely D, Kennedy A, Spence G, Rice P, Mackle E, Epanomeritakis E. The role of esophageal stents in the management of esophageal anastomotic leaks and benign esophageal perforations. *Ann Surg*. 2014; 259(5):852-60. doi: 10.1097/SLA.0000000000000564
- Agha RA, Franchi T, Sohrabi C, Mathew G, Kerwan A; SCARE Group. The SCARE 2020 Guideline: Updating Consensus Surgical CAse REport (SCARE) Guidelines. *Int J Surg*. 2020 Dec;84:226-230. doi: 10.1016/j.ijssu.2020.10.034. Epub 2020 Nov 9. PMID: 33181358.
- Abdullah HM, Ullah W, Abdallah M, Khan U, Hurairah A, Atiq M. Clinical presentations, management, and outcomes of acute esophageal necrosis: a systemic review. *Expert Review of Gastroenterology & Hepatology* 2019;13:507-14. doi: 10.1080/17474124.2019.1601555
- Rehman O, Jaferi U, Padda I, Khehra N, Atwal H, Parmar M. Epidemiology, Pathogenesis, and Clinical Manifestations of Acute Esophageal Necrosis in

- Adults. *Cureus*. 2021 Jul 25;13(7):e16618. doi: 10.7759/cureus.16618
21. Siddiqi A, Chaudhary FS, Naqvi HA, Saleh N, Farooqi R, Yousaf MN. Black esophagus: a syndrome of acute esophageal necrosis associated with active alcohol drinking. *BMJ Open Gastroenterol*. 2020 Aug;7(1):e000466. doi: 10.1136/bmjgast-2020-000466
 22. Schizas D, Theochari NA, Mylonas KS, Kanavidis P, Spartalis E, Triantafyllou S, Economopoulos KP, Theodorou D, Liakakos T. Acute esophageal necrosis: A systematic review and pooled analysis. *World J Gastrointest Surg*. 2020 Mar 27;12(3):104-115. doi: 10.4240/wjgs.v12.i3.104
 23. Haviv YS, Reinus C, Zimmerman J. "Black esophagus": a rare complication of shock. *Am J Gastroenterol*. 1996 Nov;91(11):2432-4.
 24. Ben Soussan E, Savoye G, Hochain P, Hervé S, Antonietti M, Lemoine F, Ducrotté P. Acute esophageal necrosis: a 1-year prospective study. *Gastrointest Endosc*. 2002 Aug;56(2):213-7. doi: 10.1016/s0016-5107(02)70180-6
 25. Aharinejad S, Lametschwandtner A, Franz P, Firbas W. The vascularization of the digestive tract studied by scanning electron microscopy with special emphasis on the teeth, esophagus, stomach, small and large intestine, pancreas, and liver. *Scanning Microsc*. 1991 Sep;5(3):811-49.
 26. Shapiro AL, Robillard GL. The esophageal arteries their configurational anatomy and variations in relation to surgery. *Ann Surg*. 1950 Feb;131(2):171-85, illust. doi: 10.1097/0000658-195002000-00004
 27. Minatoya K, Okita Y, Tagusari O, Imakita M, Yutani C, Kitamura S. Transmural necrosis of the esophagus secondary to acute aortic dissection. *Ann Thorac Surg*. 2000 May;69(5):1584-6. doi: 10.1016/s0003-4975(00)01183-8
 28. Săftoiu A, Cazacu S, Kruse A, Georgescu C, Comănescu V, Ciurea T. Acute esophageal necrosis associated with alcoholic hepatitis: is it black or is it white? *Endoscopy*. 2005 Mar;37(3):268-71. doi: 10.1055/s-2005-860995
 29. Watanabe Y, Fujiwara Y, Shiba M, Watanabe T, Tominaga K, Oshitani N, Matsumoto T, Nishikawa H, Higuchi K, Arakawa T. Cigarette smoking and alcohol consumption associated with gastro-oesophageal reflux disease in Japanese men. *Scand J Gastroenterol*. 2003 Aug;38(8):807-11. doi: 10.1080/00365520310004506
 30. Katsinelos P, Pilpilidis I, Dimiropoulos S, Paroutoglou G, Kamperis E, Tsolkas P, Kapelidis P, Limenopoulos B, Papagiannis A, Pitarokilis M, Trakateli C. Black esophagus induced by severe vomiting in a healthy young man. *Surg Endosc*. 2003 Mar;17(3):521. doi: 10.1007/s00464-002-4248-8
 31. Shafa S, Sharma N, Keshishian J, Dellon ES. The Black Esophagus: A Rare But Deadly Disease. *ACG Case Rep J*. 2016 Jan 20;3(2):88-91. doi: 10.14309/crj.2016.9
 32. Altenburger DL, Wagner AS, Li S, Garavaglia J. A case of black esophagus with histopathologic description and characterization. *Arch Pathol Lab Med*. 2011 Jun;135(6):797-8. doi: 10.5858/2010-0128-C.1
 33. Ullah W, Abdullah HMA, Rauf A, Saleem K. Acute oesophageal necrosis: a rare but potentially fatal association of cocaine use. *BMJ Case Rep*. 2018 Jul 19;2018:bcr2018225197. doi: 10.1136/bcr-2018-225197
 34. Pineo CE, Pineo TZ. Acute oesophageal necrosis in a young man with cocaine and alcohol abuse. *BMJ Case Rep*. 2016 Nov 23;2016:bcr2016216138. doi: 10.1136/bcr-2016-216138
 35. Singh D, Singh R, Laya AS. Acute esophageal necrosis: a case series of five patients presenting with "Black esophagus". *Indian J Gastroenterol*. 2011 Feb;30(1):41-5. doi: 10.1007/s12664-011-0082-z
 36. Rodrigues BD, Dos Santos R, da Luz MM, Chaves E Silva F, Reis IG. Acute esophageal necrosis. *Clin J Gastroenterol*. 2016 Dec;9(6):341-344. doi: 10.1007/s12328-016-0692-1
 37. Manno V, Lentini N, Chirico A, Perticone M, Anastasio L. Acute esophageal necrosis (black esophagus): a case report and literature review. *Acta Diabetol*. 2017 Nov;54(11):1061-1063. doi: 10.1007/s00592-017-1028-4
 38. Burtally A, Gregoire P. Acute esophageal necrosis and low-flow state. *Can J Gastroenterol*. 2007 Apr;21(4):245-7. doi: 10.1155/2007/920716